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Approved for use through 10/31/2002, OMB 0651-0032  
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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	01-013
First Inventor	Paul HOWELL
Title	Silhouetting Apparatus and Method
Express Mail Label No.	EL291413203US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
- ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
- ☒ Specification [Total Pages 21]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
- ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 6]
- ☒ Oath or Declaration [Total Pages 2]
  - ☒ Newly executed (original or copy)  
Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
  - ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- ☒ Application Data Sheet. See 37 CFR 1.76

## ADDRESS TO:

Assistant Commissioner for Patents  
 Box Patent Application  
 Washington, DC 20231

- ☐ CD-ROM or CD-R in duplicate, large label or Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - ☐ Computer Readable Form (CRF)
  - Specification Sequence Listing on:
    - ☐ CD-ROM or CD-R (2 copies); or
    - ☐ paper
  - ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

- ☐ Assignment Papers (cover sheet & document(s))
- ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
- ☐ English Translation Document (if applicable)
- ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
- ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
- ☒ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
- ☒ Other: Power of Attorney

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: \_\_\_\_\_

Prior application Information:

Examiner: \_\_\_\_\_

Group Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

24124

or ☐ Correspondence address below

Name

Address

City

State

Zip Code

Country

Telephone

Fax

Name (Print/Type)

Patricia M. Mathers

Registration No. (Attorney/Agent)

44,906

Signature

Patricia Mathers

Date

May 11, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

## Complete if Known

Application Number	
Filing Date	
First Named Inventor	Paul HOWELL
Examiner Name	
Group Art Unit	
Attorney Docket No.	01-013

TOTAL AMOUNT OF PAYMENT (\$) 355.00

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 501517

Deposit Account Name Thomas L. Bohan & Associates

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☒ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Code	Small Entity Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	
			Surcharge - late filing fee or oath	
127	50	227	25	
			Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	
			Non-English specification	
147	2,520	147	2,520	
			For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	
			Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	
			Requesting publication of SIR after Examiner action	
115	110	215	55	
			Extension for reply within first month	
116	390	216	195	
			Extension for reply within second month	
117	890	217	445	
			Extension for reply within third month	
118	1,390	218	695	
			Extension for reply within fourth month	
128	1,890	228	945	
			Extension for reply within fifth month	
119	310	219	155	
			Notice of Appeal	
120	310	220	155	
			Filing a brief in support of an appeal	
121	270	221	135	
			Request for oral hearing	
138	1,510	138	1,510	
			Petition to institute a public use proceeding	
140	110	240	55	
			Petition to revive - unavoidable	
141	1,240	241	620	
			Petition to revive - unintentional	
142	1,240	242	620	
			Utility issue fee (or reissue)	
143	440	243	220	
			Design issue fee	
144	600	244	300	
			Plant issue fee	
122	130	122	130	
			Petitions to the Commissioner	
123	50	123	50	
			Petitions related to provisional applications	
126	240	126	240	
			Submission of Information Disclosure Stmt	
581	40	581	40	
			Recording each patent assignment per property (times number of properties)	
146	710	246	355	
			Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	
			For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	
			Request for Continued Examination (RCE)	
169	900	169	900	
			Request for expedited examination of a design application	
Other fee (specify) _____				
* Reduced by Basic Filing Fee Paid				
SUBTOTAL (3) (\$)				

## 1. BASIC FILING FEE

Large Entity Code	Small Entity Code	Fee Description	Fee Paid
101	710	201	355
			Utility filing fee
106	320	206	160
			Design filing fee
107	490	207	245
			Plant filing fee
108	710	208	355
			Reissue filing fee
114	150	214	75
			Provisional filing fee
SUBTOTAL (1) (\$)			355.00

## 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent	20	-20** = 0	X
Multiple Dependent	3	-3** = 0	X

## Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description
103	18	203
		Claims in excess of 20
102	80	202
		Independent claims in excess of 3
104	270	204
		Multiple dependent claim, if not paid
109	80	209
		** Reissue independent claims over original patent
110	18	210
		** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2) (\$)		

\*for number previously paid, if greater; For Reissues, see above

## SUBMITTED BY

Name (Print/Type)	Patricia M. Mathers	Registration No. (Attorney/Agent)	44,906	Telephone	(207) 773-3132
Signature	<i>Patricia Mathers</i>	Date	May 11, 2001		

## Complete (if applicable)

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

**REQUEST AND CERTIFICATION  
UNDER  
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor	Paul Howell
Title	Silhouetting Apparatus and Method
Atty Docket Number	01-013

I hereby certify that the invention disclosed in the attached application **has not and will not** be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

5/11/01

Date



Signature

Paul Howell

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**